

Original Article

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Assessment of walker-assisted gait based on Principal Component Analysis and wireless inertial sensors

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Abstract Introduction: This study investigates a gait research protocol to assess the impact of a walker model with forearm supports on the kinematic parameters of the lower limb during locomotion. Methods: Thirteen healthy participants without any history of gait dysfunction were enrolled in the experimental procedure. Spatiotemporal and kinematic gait parameters were calculated by using wireless inertial sensors and analyzed with Principal Component Analysis (PCA). The PCA method was selected to achieve dimension reduction and evaluate the main effects in gait performance during walker-assisted gait. Additionally, the interaction among the variables included in each Principal Component (PCs) derived from PCA is exposed to expand the understanding of the main differences between walker-assisted and unassisted gait conditions. Results: The results of the statistical analysis identified four PCs that retained 65% of the data variability. These components were associated with spatiotemporal information, knee joint, hip joint and ankle joint motion, respectively. Conclusion: Assisted gait by a walker model with forearm supports was characterized by slower gait, shorter steps, larger double support phase and lower body vertical acceleration when compared with normal, unassisted walking.

Keywords Biomechanics, Principal Component Analysis, Intervention effectiveness, Walker-assisted gait.

Introduction

Walker-assisted gait rehabilitation is a popular treatment method of several disabling clinical conditions. The structure of a walker allows the use of the residual motion capabilities of the users to improve motor strength and locomotion performance. Such devices can be used to provide partial weight bearing during daily functional activities, preventing functional loss and the progression of disability (Youdas et al., 2005).

In conventional walker-assisted rehabilitation, therapists evaluate motor function and patients' performance based on qualitative information and personal expertise about the movement patterns. Joint displacement and muscle force are also manually assessed, which makes the final clinical decisions empirical and subjective (Frizera et al., 2012).

To make this evaluation more objective and precise, researchers have used 3D motion systems based on infrared cameras, electromyography, goniometers and dynamic force plate measurements to track the human body and perform multidimensional analysis of gait. However, these systems present some major drawbacks, such as marker occlusions during data acquisition, the need of a considerable workspace, expensive processing devices, pre-calibration experiments and dedicated personnel to conduct the procedures. Such devices are limited to laboratory research, are problematic to be used in daily clinical practice and

cannot be used to assess gait patterns in external environments (Karaulova et al., 2002).

Studies of human motion analysis, traditionally performed with photogrammetry techniques, are starting to be conducted with different implementations of Inertial Measurement Units (IMU) (Acht et al., 2007). IMU devices combine different MEMS sensors through data fusion techniques to estimate the body segment orientation. Usually, 3D accelerometers (inclination), 3D gyroscopes (angular velocity), magnetometers (heading angle), and temperature sensors (thermal drift compensation) are used together (Frizera et al., 2013). It has been demonstrated that 3D orientation can be accurately estimated by fusing the information derived from accelerometers and gyroscopes (Luinge et al., 1999). Magnetometers are used to avoid heading drift. However, they are so sensitive to magnetic disturbances (Roetenberg et al., 2005). In addition, IMU sensors can provide accurate 3D movement analysis, but this process does not provide any information concerning relative positions on the human body. This can be solved, in many cases, by using additional knowledge such as human kinematic models (Veltink and De Rossi, 2010).

There is not a great diversity of studies in the literature that provide for a compelling quantitative evidence of the limitations and benefits that walkers

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can bring to their users. In addition, the majority of the studies (Alkjaer et al., 2006; Bateni and Maki, 2005; Youdas et al., 2005) focused on standard frames and rollators with handgrips and used optical motion capture systems. In the research of Alkjaer et al. (2006), Bateni and Maki (2005), Youdas et al.(2005) and Frizera et al.(2012) one identified some differences on gait performance with and without these types of walkers: improved balance, improved stability, decrease in speed and step width, and increase of stance phase time. However, only Alkjaer et al. (2006) presented a kinematic analysis of the lower limbs, concluding that a rollator with handgrips causes an increase in hip flexion due to trunk forward flexion and also a reduction in the range of motion of all lower limbs' joints.

The present study will evaluate the lower limb gait kinematics with the use of a walker with forearm supports. In addition, the authors expect to obtain similar results as Alkjaer et al. (2006), with respect of the joint motion characteristics. The authors' preliminary hypothesis is that the users will present weight-bearing strategies during walk that will influence joint motion. The effects of the changes in the joints' motion, bodyweight support and the act of push the walker forward may be converted in a decrease of speed, cadence and increase in stance phase time. Since the forearm supports were designed to help the user maintain an upright posture and improve dynamic stability, reduced excursion of the trunk in all planes are also expected to occur.

Gait analysis yields redundant information that is often difficult to interpret and it is not always clear what measurements or which analyses are the most appropriate for a particular clinical purpose (Karg et al., 2010; Kavanagh, 2009; Olney et al., 1998).

The complex interactions among the biomechanical parameters measured during gait trials have been addressed by multivariate statistical approaches to minimize bias and reduce data dimensionality to improve interpretation. Principal Component Analysis (PCA) is currently one of the most used statistical methods to achieve both of such objectives and produces a summary of the interactions by its Principal Components (PCs) (Jolliffe, 2002; Karg et al., 2010; Kavanagh, 2009; Olney et al., 1998; Sadler et al., 2011).

The analysis of the PCs constitutes a valuable mean to interpret the most important relationships in the data. The correlation coefficients between the PC scores and the original variables measure the importance of each variable in accounting for the variability in the PC. For example, a high loading value between the first PC and a variable indicates that the variable is associated with the direction of

the maximum amount of variation in the data. If a variable does not correlate to any PC, or correlates only with the least important PCs, it may suggest that the variable has small or no contribution to the variability in the data and should be removed to improve the overall analysis (Olney et al., 1998).

The goal of this paper is to perform a gait analysis experiment to investigate the main differences between kinematic parameters of unassisted (normal) and assisted gait by a walker model with forearm supports. Spatiotemporal parameters and lower limb joint kinematics were acquired using wearable inertial sensors and the PCA technique was applied to determine the main features (PCs) that explained most of the effects of assisted gait and therefore could be used to emphasize a comparison between both methods of locomotion. The correlation patterns among the variables included in the selected PCs were also explored to provide a broad understanding of the walker influence over gait kinematics.

Methods

Participants

Thirteen healthy male subjects without any history of gait dysfunction or injuries in the lower limbs volunteered to participate in this study. The summary statistics (mean \pm standard deviation) of the subjects characteristics are: Age (years): 26.84 \pm 4.55; Weight (kg): 68.76 \pm 10.74; Height (m): 1.76 \pm 0.08; Body Mass Index (kg/m²): 22.05 \pm 2.58.

Written informed consent for publication was obtained from all individuals and this study was approved by the Ethics Committee of the Federal University of Espirito Santo, Brazil.

Equipment

Inertial Measurement Unit (IMU) is executed by integrating the output of gyroscopes and accelerometers to compute velocity, position and orientation.

As previously mentioned, a fusion algorithm combines the MEMS sensor information to get the 3D orientation. Kalman filters have been widely used to design IMU fusion algorithms (Seong-hoon et al., 2008). On the other hand, Direction-cosine-matrix (DCM) algorithm is used for IMU application in model planes and helicopters. The latter algorithm reduces the overhead and improves the portability due to mathematical simplicity (Frizera et al., 2013).

In this sensor application, the Three Axis Gyroscope Data is the primary source of orientation. This angular rate output is integrated in every axis, in order to update the kinematic of the movement through DCM routine implementation. Additionally, this algorithm does a normalization to the matrix, due to the numerical errors in the integration will gradually violate the orthogonally constraints that the DCM must satisfy. It is necessary to perform adjustments to the elements of the matrix to satisfy the constraints.

IMU design was developed using the K53N512 microcontroller that is shown in Figure 1. As one can be observed, it is necessary to apply a gyroscope Drift Detection, as the gyroscope offset will gradually accumulate errors in the DCM elements. This feature is realized in three stages: (1) use orientation reference vectors to detect orientation error by computing a rotation vector that will bring the measured and computed values of reference vectors into alignment. These vectors are obtained from the magnetometer, used to detect yaw error, and the accelerometer, used to detect pitch and roll; (2) feed the rotation error vector back through a proportional-integral controller to produce a rotation rate adjustment for the gyros; (3) subtract the rotation error to the actual gyro signals.

The initial orientation is guaranteed at the beginning of the every test by the correct posture and sensor positioning, both performed with the assistance of a therapist. Angular parameters are captured by the gyroscope and, as previously discussed, the IMU algorithm corrects this data by using tridimensional accelerometers and gyroscopes. This approach was evaluated with an optical system in (Cifuentes et al., 2010) showing high repeatability inter and intra subject without the presence of artifacts or signal distortion. However, it is important to mention that this implementation does not consider changes in the magnetic field during experiment as the magnetometer calibration is performed at the beginning of each experiment. This is not a limitation in this work considering the duration of each experiment and the environment in which they were performed.

The sensor sampling is performed at 60 Hz. The IMU sensor was integrated in a wearable sensor system developed consists on a ZigBee network named ZIMUED composed of one Coordinator (ZC) and several sensor nodes named End Devices (ZED) (Cifuentes et al., 2010). This network was a star

Inertial Measurement Unit

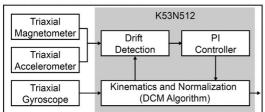


Figure 1. Block diagram of the IMU sensor design.

configuration where ZC receives patient's signals data from several ZEDs. The proposed network protocol was based on Time Division Multiplexing (TDM), where a specific time was defined for the transmission of every ZED to the ZC (Cifuentes et al., 2010).

Experimental setup

The participants were instructed to perform a level walking gait trial in two different test conditions: unassisted (normal) and walker-assisted ambulation. In each trial, the subjects kept a self-selected speed to avoid artificial motion patterns that could bias the final results.

The height of the forearm support was adjusted to be the height between the elbow of the user and the ground. This adjustment was necessary for the user to keep an upright posture during walker-assisted trials.

The inertial sensors were placed in the middle of the thigh and calf (10 cm below the patella) segments of the dominant lower limb and sacrum in two different configurations (Figure 2), since only 3 inertial sensors were available.

All subjects were barefoot and asked to perform three repetitions of each test condition along 10 m.

Data processing

Custom Matlab software (Mathworks, Natick, MA, USA) was used for all data processing, calculations and analysis of gait parameters.

The variables selected for statistical analysis were grouped into 2 categories: (1) spatiotemporal and (2) joint kinematics. Considering that the subjects presented no motor dysfunctions, symmetrical gait pattern was assumed (Winter, 1987). The spatial orientation can be described by three consecutive angular rotations, named Euler angles: 1) yaw ψ , rotate the segment about its z axis; 2) pitch θ , rotate the segment about its y axis; and 3) roll ϕ , rotate the segment about its x axis (Figure 3).

The parameter definitions are shown in Table 1. These variables are commonly reported in gait analysis (Olney et al., 1998; Sadler et al., 2011; Winter, 1987). Spatiotemporal parameters were calculated following the work of Watanabe et al. (2011) and kinematic joint angles and accelerations were extracted directly from the inertial sensors. The median values over the three test trials were calculated for each variable per subject, and formed the basis for all subsequent analyses. This procedure reduced the influence of random errors that could affect the measurements.

Principal Component Analysis

In this application, PCA was applied to an n x p matrix, where n = 26 is the number of participants and p = 31 is the number of variables, with prior



Figure 2. Inertial sensors position a) type 1: A-Thigh; B-Calf; C-Foot; and b) type 2: A-Sacrum; B-Hip; C-Thigh.

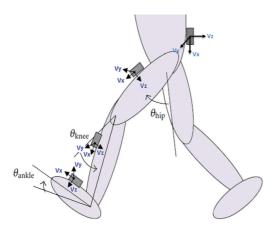


Figure 3. Position and coordinates of the sensor units.

normalization (Jolliffe, 2002). Due to the existence of two study groups (assisted and unassisted ambulation) with 13 users each, this resulted in a 26×31 matrix. Furthermore, a standardized covariance matrix was constructed and used for all subsequent analysis with PCA.

The PCs were constructed successively as follows: the first PC (PC1) was the linear combination of variables for which the variation between subjects was the greatest (%). The second PC (PC2) worked in the same fashion, using the variability that was left after the first PC had been removed. This process was repeated for all the other PCs.

The PC score vectors were composed by the coefficients that measured the contribution of

the principal components to each individual. The interpretation of the PCs in this work was accomplished by examining the loading values and the individual gait parameters. The number of selected PCs was estimated by keeping the first few PCs that retained the most variation of data, according to the following criteria. The first criterion (Kaiser's criterion) was to select PCs with eigenvalues greater than one. The second criterion to determine how many PCs were required to explain the variation in the data was based on the analysis of the scree plot (a graph of the relationship between the relative magnitude of the eigenvalues and the number of components). The PCs along the level part of the scree graph (including the transition point) were discarded and only the PCs along the precipitously dropping part of the graph that explained 60% to 70% of the variability were retained, effectively achieving dimensionality reduction of the data (Jolliffe, 2002).

In order to inspect interactions between subjects, variables and components, biplots were used to visualize the magnitude and sign of each variable's contribution to the PCs.

Analysis of group differences in the PC scores

The PC scores were analysed for determining group differences by using Student's t-tests and discriminant analysis. Normality assumptions were checked using the Kolmogorov-Smirnov test (Conover, 1971) and the asymmetry coefficient (Cramér, 1946).

A Student's t-test for paired data was used to identify statistical differences between use and non-use of walker in the selected PCs. The level of significance in all statistical tests was set to 5%.

Results

Basic gait parameters

The mean, standard deviation (SD) and median values of each gait parameter (Table 1) for each condition over all trials are presented in Table 2. A great effect for speed was identified for all spatial-temporal parameters. The cadence, step length and swing duration are lower when the user is assisted by the walker. As a consequence, double support is higher, as well as step time and stance duration. In terms of joint excursions, hip, knee and ankle range of motions decreased as well as the range of motion of sacrum when the user is assisted. In the opposite

way, hip flexion increased. It is also noteworthy that the users present a lower flexion of the sacrum as well as a lower excursion of the sacrum in all planes.

Principal Components Analysis

The PCA algorithm resulted in 9 PC's with eigenvalues greater than 1, taking into account the Kaiser's criterion. Therefore, the final number of PCs was mainly determined by the analysis of the scree plot (Figure 4), which descends more rapidly towards the 5th PC before leveling out. The first four PCs were retained for subsequent analysis, and accounted for 65% of the variation of the gait variables. While this could result in discarding some important information, the objective was to explain the majority of variation in the data. Furthermore, the smaller variance PCs can be harder to interpret (Deluzio et al., 1999; Jolliffe, 2002). Table 3 presents the variables with the highest loadings for the four retained principal components.

Table 1. Definition of gait analysis variables.

Name		Variable
Step length (m)	(1)	Step_l
Cadence (step/min)	(1)	CAD
Stance (%)	(1)	Stance
Swing (%)	(1)	Swing
Double support (%)	(1)	DS
Average Speed (m/s)	(1)	Speed
Step time (s)	(1)	Step_t
Ankle plantarflexion maximum (degrees)	(2)	APF
Ankle dorsiflexion maximum (degrees)	(2)	ADF
Ankle range of motion during gait cycle (degrees)	(2)	ADPF
Maximum flexion of the knee (degrees)	(2)	KF
Maximum extension of the knee (degrees)	(2)	KE
Knee range of motion during gait cycle (degrees)	(2)	KT
Maximum flexion of hip (degrees)	(2)	HF
Maximum extension of hip (degrees)	(2)	HE
Hip range of motion during gait cycle in the sagittal plane (degrees)	(2)	HT
Maximum abduction of the hip (degrees)	(2)	Hab
Maximum adduction of the hip (degrees)	(2)	Had
Hip range of motion during gait cycle in the frontal plane (degrees)	(2)	Haadb
Foot maximum progression deviation (interior rotation) (degrees)	(2)	AI
Foot maximum progression deviation (exterior rotation) (degrees)	(2)	AE
Foot range of progression deviation during gait cycle (degrees)	(2)	AEI
Range of acceleration of sacrum in the transverse plane (m/s²)	(2)	ROMup
Up acceleration of the sacrum (m/s²)	(2)	Sup
Down acceleration of the sacrum (m/s²)	(2)	Sdo
Lateral flexion (right) acceleration of the sacrum (m/s²)	(2)	SR
Lateral flexion (left) acceleration of the sacrum (m/s²)	(2)	SL
Sacrum lateral range of acceleration (m/s²)	(2)	ROMlat
Sacrum maximum flexion acceleration (m/s²)	(2)	SF
Sacrum maximum extension acceleration (m/s²)	(2)	SE
Range of acceleration of Ext/Flex of the Sacrum (m/s²)	(2)	ROMFlexExt
Total		31

Table 2. Variables' values (Mean±Standard Deviation(Median)).

Variables	Non-assisted	Walker assisted
step_l (m)	0.66±0.13(0.62)	0.53±0.13(0.55)
CAD (step/min)	132.88±16.32(130.59)	95.60±23.74(86.86)
Stance (%)	61.06±4.22(61.23)	73.23±5.72(72.94)
Swing (%)	38.94±4.22(38.78)	26.77±5.72(27.06)
DS (%)	26.85±4.63(27.78)	36.61±8.36(37.59)
Speed (m/s)	$1.37\pm0.23(1.41)$	0.83±0.18(0.83)
step_t (m)	$0.92\pm0.10(0.93)$	1.32±0.26(1.38)
HF (°)	31.24±4.62(30.37)	34.53±6.67(35.08)
HE (°)	8.22±4.26(6.56)	11.05±5.54(12.18)
HT (°)	22.60±5.35(21.76)	21.11±7.42(20.39)
KF (°)	40.49±7.63(40.20)	37.72±8.74(34.00)
KE (°)	10.38±3.94(10.32)	11.26±4.87(11.07)
KT (°)	30.34±6.51(28.43)	26.74±6.76(25.37)
ADF (°)	$16.76\pm4.72(17.44)$	10.10±4.92(9.76)
APF (°)	$-19.92\pm7.33(-20.87)$	$-12.86\pm7.87(-16.16)$
ADPF (°)	36.20±8.04(35.63)	22.50±7.38(19.49)
AE (°)	$-21.78\pm7.85(-18.65)$	$-17.10\pm7.69(-18.19)$
AI (°)	9.24±4.39(9.31)	4.28±4.04(4.64)
AEI (°)	31.85±9.82(31.17)	$21.36\pm7.72(20.03)$
ROMFlex/Ext (°)	25.84±9.49(25.76)	11.06±3.66(10.24)
ROM lateral (°)	16.98±8.86(13.42)	$7.85\pm6.40(7.39)$
SF (°)	11.29±6.72(9.03)	$-1.33\pm5.66(0.98)$
SE (°)	$-14.97\pm3.84(-15.92)$	$-12.87\pm3.48(-12.14)$
SR (°)	14.25±8.82(19.09)	10.27±13.57(16.08)
SL (°)	$-3.28\pm9.60(-2.18)$	2.33±14.92(7.87)
Hab (°)	$-3.32\pm2.31(-2.20)$	$-3.73\pm2.97(-2.92)$
Had (°)	3.82±2.01(2.839	$3.57\pm2.42(3.07)$
Haadb (°)	$7.15\pm3.90(4.88)$	$7.39\pm4.42(6.22)$
Sup (°)	8.62±4.56(8.86)	4.36±2.89(4.29)
Sdo (°)	$-13.10\pm3.74(-14.11)$	-8.37±2.33(-8.22)
ROMUP (°)	22.57±5.98(20.51)	12.52±2.08(11.81)

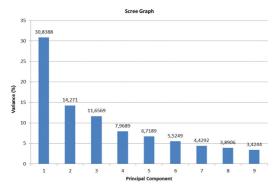


Figure 4. Scree graph showing percentage of variance explained by each of the firs 9 principal components.

Table 3 shows that PC1 was positively high correlated with 8 variables and negatively high correlated with 3 variables. The included variables suggested that PC1 was related to the spatiotemporal parameters of the users. PC2, PC3 and PC4 were

related to the changes in total excursion of the knee, hip and ankle joint, respectively.

For a better visual inspection of these results, it was built a biplot for the interaction between PC1/PC2 (Figure 5), PC1/PC3 (Figure 6) and PC1/PC4 (Figure 7). In the biplots, the odd numbers corresponded to unassisted gait condition subjects and even numbers corresponded to assisted gait condition subjects.

As PC1 was the component with higher variance, incorporating more relevant information, it was plotted PC1 against the other three components to provide expanded information about walker-assisted effects on gait.

Biplot result of PC1 with PC2

The biplot of Figure 5 shows the high correlated variables related with PC1 and PC2 in comparison with Table 3. *ROMup, ROMFlexExt, Speed, CAD,* and *Swing* were positively high correlated with PC1; *Stance*

Table 3. Variables correlating with each of the four PCs.

PC1	Correlation	PC2	Correlation	PC3	Correlation	PC4	Correlation
ROMUP	0.294781	KF	0.375953	HT	0.388293	APF	0.461993
speed	0.263151	KT	0.314162	Had	0.385747	AEI	0.349821
ROM Flex/Ext	0.260647	Haadb	0.256178	Haadb	0.354397	ADPF	-0.33875
SF	0.260483	SR	-0.2413	HF	0.346192	AE	-0.42192
SwingE	0.259065	Hab	-0.26071	Hab	-0.24603		
CAD	0.254311	SE	-0.31963				
AEI	0.224974						
Sup	0.219248						
Sdown	-0.21966						
StanceE	-0.25906						
step time	-0.26787						

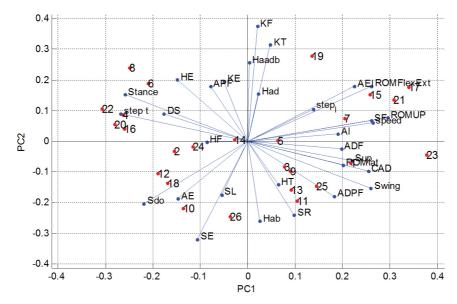


Figure 5. Biplot relating PC1 with PC2. Non users of the walker correspond to odd numbers and users of the walker correspond to even numbers.

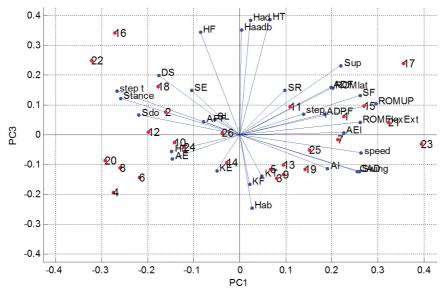


Figure 6. Biplot relating PC1 with PC3. Non users of the walker correspond to odd numbers and users of the walker correspond to even numbers.

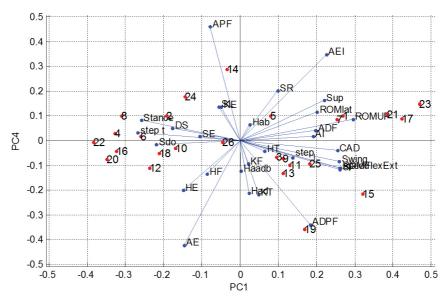


Figure 7. Biplot relating PC1 with PC4. Non users of the walker correspond to odd numbers and users of the walker correspond to even numbers.

and *Step_t* were negatively high correlated with PC1; *KF* and *KT* were positively high correlated with PC2; *SE* and *Hab* were negatively high correlated with PC2.

Observing the case samples, one can notice that the ones related with unassisted walking were mostly in the first and forth quadrants, whereas those case samples related with the use of the walker were in the second and third quadrants. Therefore, the first and fourth quadrants had variables with positive correlations with PC1, which means that cases located in such regions were faster and presented greater body accelerations, which were related to normal walking. The variables that are located in the second and third quadrants presented slower gait patterns and more stable ones, which were related to the use of walker. PC2 alone was not able to make a clear division between groups.

Biplot results of PC1 with PC3

In Figure 6, one can verify the same high correlated variables for PC1 as in Figure 5, and One can observe that *Had, HT, Haadb* and *HF* were positively high correlated with PC3. In contrast, *Hab* was negatively high correlated with PC3 (Table 3).

Observing the case samples, PC1 presented the same data separation as before, whereas PC3 was not able to make a clear division between groups, in a similar fashion than PC2.

Biplot results of PC1 with PC4

In Figure 7, one can verify the same high correlated variables for PC1 as in Figure 5, and one can observe that *APF* was positively high correlated, whereas

AE and ADPF were negatively high correlated with PC4 (Table 3).

Analysis of group differences in the PC Scores

The retained PC scores were tested with the Kolmogorov-Smirnov test and the asymmetry coefficient for normality assessment (Conover, 1971; Cramér, 1946). All groups were validated as normal data and Student's T-test was applied to assess differences between groups.

This statistical analysis, together with the PC scores, revealed that the assisted gait provides a lower speed than non-assisted gait (p-value < 0.05). No statistical difference between both conditions in terms of knee, hip and ankle joints movement was observed (p-value > 0.05 for each comparison).

Discussion

Basic gait parameters

Analyzing all gait parameters one can notice that the average gait velocity (Speed), cadence (CAD), and step length (Step_l) are larger when the person is walking without assistance. As a consequence, Swing duration is larger, double support (DS) is shorter, as well as step time duration (Step_t). This happens because the walker usage has a great influence on the speed of the user that influences the overall spatiotemporal parameters (Frizera et al., 2012; Liu et al., 2009; Youdas et al., 2006).

In terms of joint excursions, hip, knee, ankle and hip excursions decrease as well as the range of motion of sacrum when the user is assisted. This decrease is explained by the reduction of weight load over the lower limbs and the extra support that is given by the forearms of the walker. In the opposite way, hip flexion (HF) increased since the user has to push the walker to move forward. All these kinematic changes can be related to posture, speed and balance changes that are affected by the use of the walker (Alkjaer et al., 2006; Bateni and Maki, 2005).

A limitation of this work is the lack of information regarding changes in upper limb's kinematics that occurs with the use of this type of walker. Previous studies were already done with standard walkers and rollators (Bachschmidt et al. 2001; Haubert et al. 2006; Takanokura, 2010), but their main focus was in the kinetics of the upper limbs. Their conclusion was that the walker increased load on the shoulder and elbow and to decrease this effect the walker needs a correct adjustment of its height. Thus, the effects on these joints caused by the forearms are still unknown and further studies are required.

Principal components analysis

The primary purpose of this study was to investigate the effects of walker usage over gait kinematic patterns and if PCA technique could be used to identify the main effects in gait performance during walkerassisted locomotion.

According to the established criteria, the principal components that resulted from PCA were considered for analysis when the eigenvalues were greater than one. Consequently, nine PCs were selected but only four were chosen since these were enough to explain the majority of variance in the data (65%). The number of PCs required to account for greater than 65% of variance was extremely low compared to the 31 dimensional set of absolute possibilities.

The following sections present a detailed analysis for each one of the four PCs previously introduced and attempts to gain further insight into the differences between unassisted and walker-assisted gait.

The PC1 is associated with speed-related variables, which presented positive correlation with cadence (*CAD*) and swing duration (*swing*), and negative correlation with stance and step times. Body acceleration was also influenced by speed as shown by the increased motion of the sacrum in sagittal and frontal planes.

The PC2 was related to knee joint movement. In particular, greater knee range of motion is related to an increase of knee flexion. One can observe that the users presented different weight-bearing strategies

during walker-assisted gait, augmenting the variability of the knee excursion values. This should be explored in a future study, in order to explore the relationship between different strategies of weight-bearing and knee range of motion.

The PC3 was related to hip joint movement. One can also observe that different weight-bearing strategies potentially influenced hip joint kinematics, especially *HT* and *Haadb*.

The PC4 was related to ankle joint movement, since the major variables of this component were variables associated with ankle joint.

The statistical analysis showed that only PC1 was capable to distinguish both conditions. Therefore, speed-related parameters presented significant changes during walker-assisted ambulation.

Biplot analysis of PC1 against PC2

The biplot presented in Figure 5 shows the correlation between features of PC1 and PC2. This interaction present some high correlated variables such as: (a) Had with KT: this correlation, located in the 1st quadrant, indicates that when the excursion of the knee increases, hip adduction also increases. (b) AEI with step 1 and ROMflexExt: this correlation is located in the 1st quadrant and indicates that during unassisted gait (user 15), step 1 was related with increased ankle excursion in the frontal plane and increased knee motion in the sagittal plane. These findings suggest that unassisted ambulation was marked by larger step length, increased knee excursion and increased adduction/abduction of the foot. Such pattern is consistent with larger spatio-temporal parameters (specially speed) (Jolliffe, 2002); (c) Speed with SF and ROMup: this correlation is located in the 1st quadrant and have a strong correlation with PC1, which indicates that higher speed is associated with higher vertical body acceleration and sacrum flexion, which is consistent with Ishikura (2001). This interaction was more pronounced during unassisted ambulation (user 17); (d) ROMlat with CAD and Sup: This interaction is located in the 2nd quadrant of the biplot and suggests an increase in the range of lateral acceleration of the sacrum in response to increased CAD, especially during unassisted gait.; (e) SL and SE: located in the third quadrant, this interaction indicates that walker-assisted gait was marked by extension and left inclination of the sacrum, as a function of lower values of speed; (f) HT and SR: The unassisted gait presented increased hip range of motion in z-axis and was associated with some degree of right lateral inclination of the sacrum (user 13 and 11).

In Figure 5 one can also verify negative correlations between variables, located in opposite quadrants, such as: (a) Stance and Swing: this correlation indicated that swing and stance phases are opposite phases, as expected (6 compared with 1). Stance phase time increases with the use of the walker; (b) DS and CAD: this correlation indicates that greater CAD was associated with reduced time that the feet were on the floor. This is more accentuated when the person was walking unassisted (1 compared with 4); (c) Step t and Sup: this correlation indicates that increased step time suggested a slower and more stable pace, which was associated with lower vertical acceleration of the body. This interaction was stronger during walkerassisted ambulation (4 compared with 1); (d) HE and ADPF: increased extension of the hip joint was associated to a lower excursion of the ankle during walker-assisted gait (14 compared with 25).

Based on the presented interactions, walker-assisted gait was marked by smaller speed and increased stance phase time as compared to unassisted gait. These results corroborate previous findings by Frizera et al. (2012), who also reported increased stance phase time and double support phase time during walker-assisted ambulation. In particular, such findings suggest that walker-assisted gait is stable.

It is noteworthy that *KF* and *KT*, besides being the variables with greater PC2, are not related with any specific case sample and were not correlated to any spatiotemporal parameters, specifically *step_t* and *CAD*.

Biplot analysis of PC1 against PC3

The biplot presented in Figure 6 shows the correlation between features of PC1 and PC3. The main interactions between variables of this plot are: (a) SF with step 1: this correlation is located in the 1st quadrant and shows that when the step is bigger, the person inclines his trunk forward, (user 1); (b) APF with step t: this correlation is located in the 2nd quadrant and indicates that step_t is associated with the plantar-flexion angle of the ankle joint. These variables were greater with the use of the walker (user 2); (c) ADPF with ROMUp: this correlation is located in the 1st quadrant and explains the fact that the vertical acceleration of the body was associated with ADPF, and both variables increased during unassisted ambulation (user 15); (d) KF with Hab: this correlation is located in the 4th quadrant and shows the interaction between knee flexion and hip abduction, which presented greater values during unassisted gait (user 5); (e) CAD with Swing: this correlation is located in the 4th quadrant and confirms that speed and CAD are strongly positive correlated (user 25).

Figure 6 also reveals some negative correlations between variables, located in opposite quadrants, such

as: (a) step t with Swing and CAD: this correlation explains that a bigger step time associated with walker use is opposite to the increase of the swing phase (swing) and CAD, suggesting slower gait speed (2 compared with 25); (b) AE with Step 1 and SF: this correlation shows that the decrease of AE (in module) is associated with the increase of SF and step length, meaning that an increase of speed makes the foot progression (exterior) to increase (1 compared with 24); (c) HE with ADPF: this correlation indicates that hip extension, related to walker usage, decreases the vertical excursion of the ankle (24 compared with 1); (d) HF with KT: this correlation indicates that the increase of the hip flexion is related to the decrease of the knee excursion. However, since the user sample has individuals with different heights and the walker was not perfectly adjusted to them, the hip flexion parameter was not capable of differentiating between the two groups of study.

The location of HF in the 2^{nd} quadrant corroborates our preliminary hypothesis that walking with a device with forearm supports can lead to increased hip flexion due to anterior flexion of the trunk further corroborating the work of Ishikura (2001).

Biplot analysis of PC1 against PC4

The biplot presented in Figure 7 shows the positively high correlated variables in this interaction: (a) *SE* with *Step_t*: this correlation is located in 2nd quadrant and was related to the association between extension of the sacrum and step time. The increase of these parameters was associated with walker-assisted cases (user 6); (b) *Speed* with *ROMFlexExt* and *Step_l*: this is located in the 4th quadrant and relates the speed with *ROMFlexExt* and *Step_l*. These components were greater unassisted gait (user 25); (c) *KT* with *KF*: this correlation is located in the 4th quadrant and relates *KF* with *KT*. This was expected since *KF* is the maximum of the range of motion *KT*. The increase of these variables is not related to a specific group.

Some negative correlations were also identified: (a) *APF* with *KT* and *KF*: this correlation suggested that the increase (in absolute value) of the plantar flexion of the ankle was associated with the increase of the knee flexion and its excursion as well; (b) *HE* with *AEI*: this correlation showed that extension of the hip reduced ankle motion in the sagittal plane.

Main contributions

In conclusion, it has been identified four PC's that retained 65% data variability. These components were associated with spatiotemporal parameters (PC1), knee joint (PC2), hip joint (PC3) and ankle joint (PC4) motion, respectively. The results showed

that only the first PC was able to detect differences between the two study groups. Based on such analysis, walker-assisted gait was characterized by slower gait, short steps, higher double support phase and reduced body vertical acceleration. These conclusions are in accordance with previous studies. Additionally, several correlations patterns among the variables included in each PC were presented and discussed.

The knowledge of gait parameters and biomechanical properties of walker-assisted ambulation (with forearm supports) may contribute to future studies regarding the development of novel robotic walker models and the design of specific rehabilitation or functional compensation strategies that incorporate such devices. Improved adaptation of patient's individual needs and treatment progression by the clinician are major benefits that can be achieved with the enhancement of this technology.

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